

Charles A. Ercole, Esq.
Jeffrey D. Kurtzman, Esq.
Kathryn F. Evans, Esq.
KLEHR HARRISON HARVEY BRANZBURG, LLP
1835 Market Street – 14th Floor
Philadelphia, PA 19103
CERCOLE@klehr.com
KEVANS@klehr.com

**KLEHR HARRISON WARN ACT LAWSUIT
AGAINST MF GLOBAL SEEKS \$25 MILLION**

Two former employees, Todd Thielmann and Pierre-Yvan Desparois, filed for damages on behalf of themselves and all employees laid off by MF Global when it laid off 1066 employees on November 11, 2011 without providing any advance notice. Mr Thielmann and Mr. Desparois worked in MF Global's Chicago and New York offices, respectively.

MF Global filed for bankruptcy on November 1, 2011 and laid off 1066 employees on Friday November 11, 2011 telling them their employment was being terminated immediately, they would be paid thru November 15 and health benefits will be cut off November 30.

Failure to give sixty (60) days notice violates the federal Worker Adjustment and Retraining Notification Act ("WARN Act"). Additionally, all the laid off employees worked in either Chicago or New York and each state has its own WARN Act (and New York's law requires 90 days notice as

opposed to 60 under the federal law). The Complaint and Proof of Claim estimate the damages to be in excess of \$25 million. The case is being pursued in the United States Bankruptcy Court for the Southern District of New York..

Charles A. Ercole, a partner with Klehr Harrison Harvey Branzburg LLP in Philadelphia, who represents Mr. Thielmann and Mr. Desparois, said “We plan to exhaust all avenues to recover the money owed to these employees. Given its deteriorating financial condition, MF Global clearly knew long before November 11, 2011 that it was going to have to close its doors and there is no reason WARN Act notices shouldn’t have been given,” said Mr. Ercole.

Klehr Harrison is a full service law firm with its primary office in Philadelphia. Mr. Ercole is Chair of the Labor and Employment practice group and has had significant recoveries for employees in numerous other WARN Act cases including \$35 million for 2200 former employees of *Qimonda North America*; \$6.775 million for 1900 former employees of *USF Red Star*, and \$4.0 million for 550 former employees of *Arrow Trucking*. If you have any questions, please contact Charles A. Ercole.

Copies of the Adversary Complaint and Class of Proof of Claim that were filed today are on the www.klehr.com website under “MF Global news” or you can email Mr. Ercole for copies.

KLEHR HARRISON HARVEY BRANZBURG LLP
 1835 Market Street, Suite 1400
 Philadelphia, PA 19103
 Jeffrey D. Kurtzman
 Charles A. Ercole (Pro Hac Vice Pending)
 Kathryn Evans Perkins (Pro Hac Vice Pending)

Counsel for Plaintiffs and Others Similarly Situated

**UNITED STATES BANKRUPTCY COURT
 SOUTHERN DISTRICT OF NEW YORK**

	X
In re	: Chapter 11
	: :
MF GLOBAL HOLDINGS, LTD.; MF	: Case No. 11-15059 (MG)
GLOBAL FINANCE USA, INC. et al.	: Case No. 11-15058 (MG)
	: :
	: (Jointly Administered)
	: :
Debtors.	: :
	: :
Todd Thielmann and Pierre-Yvan Desparois,	: :
Individually, and on behalf of All Other	: :
Similarly Situated Former Employees	: :
	: :
Plaintiffs	: :
	: :
v.	: :
	: :
MF GLOBAL FINANCE USA, INC.; MF	: :
GLOBAL HOLDINGS, LTD et al.	: :
	: :
Defendants	: :
	X

**CLASS ACTION ADVERSARY PROCEEDING COMPLAINT FOR
 (1) VIOLATION OF WARN ACT 29 U.S.C. § 2101, et seq.
 AND (2) VIOLATION OF NEW YORK WARN ACT, LABOR LAW § 860 et seq.**

Plaintiffs Pierre-Yvan Desparois and Todd Thielmann (“Class Plaintiffs”) allege on behalf of themselves and a class of similarly situated former employees of defendants, by way of this Adversary Complaint against MF Global Finance USA, Inc. and/or MF Global Holdings,

LTD (collectively hereinafter referred to as “Defendants”), by and through their counsel, as follows:

NATURE OF THE ACTION

1. Defendants are one of the world’s leading brokers and broker-dealers for commodities, listed derivatives, fixed income services, equities and foreign exchange. Defendant MF Global Holdings employs more than 2800 employees internationally. Plaintiffs worked for Defendants until their termination on or about November 11, 2011. More than 1,000 employees were laid off on November 11, without any advance notice.

2. Plaintiffs bring this action on behalf of themselves, and other similarly situated former employees who worked for Defendants and were terminated without cause, as part of, or as the result of, plant closings, mass layoffs and terminations ordered by Defendants and who were not provided 60 days advance written notice of their terminations by Defendants, as required by the Worker Adjustment and Retraining Notification Act (“WARN Act”), 29 U.S.C. § 2101 *et seq.*,¹ New York Labor Laws *et seq.* (“NY WARN Act”), together the “WARN Acts”.

3. Plaintiffs and all similarly situated employees seek to recover 60 days wages and benefits, pursuant to the WARN Acts, from Defendants. Plaintiffs’ claims, as well as the claims of all similarly situated employees, are entitled to first priority administrative expense status pursuant to the United States Bankruptcy Code § 503(b)(1)(A), or alternatively wage priority status pursuant to United States Bankruptcy Code § 507(a)(4), (5).

JURISDICTION AND VENUE

4. This Court has jurisdiction over this matter pursuant to 28 U.S.C. §§ 157, 1331, 1334 and 1367 and 29 U.S.C. § 2104(a)(5).

¹ Plaintiffs allege that Defendants actions also violate the Illinois WARN Act, but a separate count is not alleged under the Illinois WARN Act because it does not add any substantive relief or procedural advantage due to the size of the MF Global layoff.

5. This is a core proceeding pursuant to 28 U.S.C. § 157(b)(2)(A), (B) and (0).
6. Venue is proper in this District pursuant to 29 U.S.C. § 2104(a)(5).

THE PARTIES

Plaintiffs

7. Class Plaintiff Pierre-Yvan Desparois was an employee of Defendants and worked as a Vice President in Credit Risk Management at the Defendants' office located in New York City, NY which employed approximately 450 employees until his termination on or about November 11, 2011.

8. Class Plaintiff Todd Thielmann was an employee of Defendants and worked as a Floor Broker at the Defendants' offices located in Chicago, Ill. which employed approximately 600 employees until his termination on or about November 11, 2011.

Defendants

9. Debtor MF Global Finance USA, Inc. is a Delaware corporation with its principal place of business located at 717 Fifth Avenue, New York, New York and conducted business in this district.

10. Defendant MF Global Holdings LTD, is a Delaware company with principal place of business located at 717 Fifth Avenue, New York, New York and conducted business in this district.

11. Defendants maintained and operated additional facilities - as that term is defined by the WARN Act – throughout the United States, including, but not limited to, Chicago, Illinois (collectively the "Offices").

12. Defendant MF Global Holdings, LTD is the parent of MF Global Finance, USA, Inc.

13. Until on or about November 11, 2011, the Plaintiffs and all similarly situated employees were employed by Defendants and worked at or reported to one of Defendants' Offices.

14. Upon information and belief, the Defendants made the decision to terminate the employment of the Plaintiffs and the other similarly situated former employees.

WARN CLASS ALLEGATIONS

15. The Class Plaintiffs bring the First Claim for Relief for violation of 29 U.S.C. §2101 *et seq.*, on behalf of themselves and on behalf of all other similarly situated former employees, pursuant to 29 U.S.C. § 2104(a)(5) and Federal Rules of Civil Procedure, Rule 23(a), who worked at or reported to one of Defendants' Offices and were terminated without cause on or about November 11, 2010, and within 30 days of that date, or were terminated without cause as the reasonably foreseeable consequence of the mass layoffs and/or plant closings ordered by Defendants on or about November 11, 2011, and who are affected employees, within the meaning of 29 U.S.C. § 2101(a)(5) (the "WARN Class").

16. The persons in the WARN Class identified above ("WARN Class Members") are so numerous that joinder of all members is impracticable. Although the precise number of such persons is unknown, the facts on which the calculation of that number can be based are presently within the sole control of Defendants.

17. On information and belief, the identity of the members of the class and the recent residence address of each of the WARN Class Members is contained in the books and records of Defendants.

18. On information and belief, the rate of pay and benefits that were being paid by Defendants to each WARN Class Member at the time of his/her termination is contained in the books and records of the Defendants.

19. Common questions of law and fact exist as to members of the WARN Class, including, but not limited to, the following:

(a) whether the members of the WARN Class were employees of the Defendants who worked at or reported to Defendants' Offices;

(b) whether Defendants unlawfully terminated the employment of the members of the WARN Class without cause on their part and without giving them 60 days advance written notice in violation of the WARN Act; and

(c) whether Defendants unlawfully failed to pay the WARN Class members 60 days wages and benefits as required by the WARN Act.

20. The Class Plaintiffs' claims are typical of those of the WARN Class. The Class Plaintiffs, like other WARN Class members, worked at or reported to one of Defendants' Offices and were terminated without cause on or about November 11, 2011 due to the mass layoffs and/or plant closings ordered by Defendants.

21. The Class Plaintiffs will fairly and adequately protect the interests of the WARN Class. The Class Plaintiffs have retained counsel competent and experienced in complex class actions, including the WARN Act and employment litigation.

22. On or about November 11, 2011, Defendants terminated the Plaintiffs' employment as part of a mass layoff or a plant closing as defined by 29 U.S.C. § 2101(a)(2), (3), for which they were entitled to receive 60 days advance written notice under the WARN Act.

23. Class certification of these claims is appropriate under Fed. R. Civ. P. 23(b)(3) because questions of law and fact common to the WARN Class predominate over any questions affecting only individual members of the WARN Class; and because a class action superior to other available methods for the fair and efficient adjudication of this litigation — particularly in

the context of WARN Act litigation, where individual plaintiffs may lack the financial resources to vigorously prosecute a lawsuit in federal court against a corporate defendant, and damages suffered by individual WARN Class members are small compared to the expense and burden of individual prosecution of this litigation.

24. Concentrating all the potential litigation concerning the WARN Act rights of the members of the Class in this Court will obviate the need for unduly duplicative litigation that might result in inconsistent judgments, will conserve the judicial resources and the resources of the parties and is the most efficient means of resolving the WARN Act rights of all the members of the Class.

25. Plaintiffs intend to send notice to all members of the WARN Class to the extent required by Rule 23.

NEW YORK WARN ACT CLASS ALLEGATIONS

26. Class Plaintiff Pierre-Yvan Desparois (the “NY Class Plaintiff”) brings this Second Claim for Relief for violation of NY WARN Act Labor Law § 860 *et seq.* on behalf of himself and a class of similarly situated persons pursuant to NY WARN Act Labor Law § 860 *et seq.* and Federal Rules of Civil Procedure, Rule 23(a) and (b), who worked at or reported to Defendants’ New York offices and were terminated without notice on or about November 11, 2011 (the “NY WARN Class”).

27. The persons in the NY WARN Class identified above (“NY WARN Class Members”) are so numerous that joinder of all members is impracticable. Although the precise number of such persons is unknown, the facts on which the calculation of that number can be based are presently within the sole control of Defendants.

28. On information and belief, the identity of the members of the class and the recent residence address of each of the NY WARN Class Members is contained in the books and records of Defendants.

29. On information and belief, the rate of pay and benefits that were being paid by Defendants to each NY WARN Class Member at the time of his/her termination is contained in the books and records of the Defendants.

30. Common questions of law and fact exist as to members of the NY WARN Class, including, but not limited to, the following:

(a) whether the members of the NY WARN Class were employees of the Defendants;

(b) whether Defendants unlawfully terminated the employment of the members of the NY WARN Class without cause on their part and without giving them 60 days advance written notice in violation of the NY WARN Act; and

(c) whether Defendants unlawfully failed to pay the NY WARN Class members 60 days wages and benefits as required by the NY WARN Act.

31. The NY Class Plaintiff's claim is typical of those of the NY WARN Class. The NY Class Plaintiff, like other NY WARN Class members, worked at or reported to Defendants' New York offices and was terminated on or about November 11, 2011, due to the termination of the Offices ordered by Defendants.

32. The NY Class Plaintiff will fairly and adequately protect the interests of the NY WARN Class. The Class Plaintiff has retained counsel competent and experienced in complex class actions on behalf of employees, including the NY WARN Act, the federal WARN Act, other similar state laws, and employment litigation.

33. Class certification of these Claims is appropriate under Fed. R. Civ. P. 23(b)(3) because questions of law and fact common to the NY WARN Class predominate over any questions affecting only individual members of the NY WARN Class, and because a class action superior to other available methods for the fair and efficient adjudication of this litigation — particularly in the context of NY WARN Class Act litigation, where individual plaintiffs may lack the financial resources to vigorously prosecute a lawsuit in federal court against a corporate defendant, and damages suffered by individual NY WARN Class members are small compared to the expense and burden of individual prosecution of this litigation.

34. Concentrating all the potential litigation concerning the NY WARN Act rights of the members of the Class in this Court will obviate the need for unduly duplicative litigation that might result in inconsistent judgments, will conserve the judicial resources and the resources of the parties and is the most efficient means of resolving the NY WARN Act rights of all the members of the Class.

35. The NY Class Plaintiff intends to send notice to all members of the NY WARN Class to the extent required by Rule 23.

CLAIMS FOR RELIEF

Violation of the WARN Act, 29 U.S.C. § 2101 et seq.

36. Plaintiffs reallege and incorporate by reference all allegations in all preceding paragraphs.

37. At all relevant times, Defendants employed more than 100 employees who in the aggregate worked at least 4,000 hours per week, exclusive of hours of overtime, within the United States.

38. At all relevant times, Defendants were an “employer,” as that term is defined in 29 U.S.C. § 2101 (a)(1) and 20 C.F.R. § 639(a), and continued to operate as a business until they decided to order mass layoffs or plant closings at the Offices.

39. The Defendants constituted a “single employer” of the Plaintiffs and the Class Members under the WARN Act in that, among other things:

- (a) The Defendants shared common ownership;
- (b) The Defendants shared common officers and directors;
- (c) All of the Defendants exercised *de facto* control over the labor practices governing the Plaintiffs and Class Members, including the decision to order the mass layoff or plant closing at the Offices;
- (d) There was a unity of personnel policies emanating from a common source between Defendants; and
- (e) There was a dependency of operations between Defendants.

40. On or about November 11, 2011, the Defendants ordered mass layoffs and/or plant closings at the Offices, as those terms are defined by 29 U.S.C. § 2101(a)(2).

41. The mass layoffs or plant closings at the Offices resulted in “employment losses,” as that term is defined by 29 U.S.C. §2101(a)(2) for at least fifty of Defendants’ employees as well as thirty-three percent (33%) of Defendants’ workforce at the Offices, excluding “part-time employees,” as that term is defined by 29 U.S.C. § 2101(a)(8).

42. The Plaintiffs and the Class Members were terminated by Defendants without cause on their part, as part of or as the reasonably foreseeable consequence of the mass layoffs or plant closings ordered by Defendants at the Offices.

43. The Plaintiffs and the Class Members are “affected employees” of Defendants, within the meaning of 29 U.S.C. § 2101(a)(5).

44. Defendants were required by the WARN Act to give the Plaintiffs and the Class Members at least 60 days advance written notice of their terminations.

45. Defendants failed to give the Plaintiffs and the Class members written notice that complied with the requirements of the WARN Act.

46. The Plaintiffs, and each of the Class Members, are “aggrieved employees” of the Defendants as that term is defined in 29 U.S.C. § 2104 (a)(7).

47. Defendants failed to pay the Plaintiffs and each of the Class Members their respective wages, salary, commissions, bonuses, accrued holiday pay and accrued vacation for 60 days following their respective terminations, and failed to make the pension and 401(k) contributions and provide employee benefits under COBRA for 60 days from and after the dates of their respective terminations.

48. Since the Plaintiffs and each of the Class Members seek back-pay attributable to a period of time after the filing of the Debtors’ bankruptcy petitions and which arose as the result of the Debtors’ violation of federal laws, Plaintiffs’ and the Class Members’ claims against Defendants are entitled to first priority administrative expense status pursuant to 11 U.S.C. § 503 (b)(1)(A).

49. The relief sought in this proceeding is equitable in nature.

Violation of the New York WARN Act, Labor Law § 860 et seq.

50. Plaintiffs reallege and incorporate by reference all allegations in all proceeding paragraphs.

51. Plaintiffs and similarly situated employees who worked at or reported to Defendants' Offices in NY and other "covered establishments," are former "employees," of Defendants as defined in the NY WARN Act.

52. Defendants terminated the employment of Plaintiffs and other similarly situated employees, pursuant to a "plant closing," "mass layoff," or "relocation" as defined in the NY WARN Act on or about November 11, 2011 or thereafter.

53. At all relevant times, Defendants were an "employer" as defined in the NY WARN Act.

54. Defendants violated the NY WARN Act by ordering a "Plant Closing", "mass layoff" or "relocation" in NY without giving written notice at least 90 days before the order took effect to (1) the employees affected by the order and (2) the New York State Department of Labor, the local workforce investment board, and the chief elected official of each city and county government within which the mass layoff, relocation or termination occurred.

55. As a result of Defendants' violation of the NY WARN Act, the other similarly situated New York employees are entitled to damages equal to 90 days wages and benefits.

56. As a result of Defendants' violation of the NY WARN Act, Defendants are liable subject to a civil penalty of not more than five hundred dollars (\$500) for each day of the violation.

57. Plaintiffs have incurred and the other similarly situated employees will incur attorneys' fees in prosecuting this claim and are entitled to an award of attorneys'.

PRAYER FOR RELIEF

WHEREFORE, the Plaintiffs, individually and on behalf of all other similarly situated persons, prays for the following relief as against Defendants, jointly and severally:

A. Certification of this action as a Class Action;

- B. Designation of the Plaintiffs as the Class Representatives;
- C. Appointment of the undersigned attorneys as Class Counsel;
- D. A first priority administrative expense claim against the Debtor pursuant to 11 U.S.C. § 503(b)(1)(A) in favor of the Plaintiffs and the other similarly situated former employees equal to the sum of: their unpaid wages, salary, commissions, bonuses, accrued holiday pay, accrued vacation pay, pension and 401(k) contributions and other COBRA benefits, for 60 days (90 days for the NY WARN Act Class), that would have been covered and paid under the then-applicable employee benefit plans had that coverage continued for that period, all determined in accordance with the WARN Act, 29 U.S.C. § 2104 (a)(1)(A), including any civil penalties; or, alternatively, determining that the first \$11,725 of the WARN Act claims of the Plaintiffs and each of the other similarly situated former employees are entitled to priority status, under 11 U.S.C. § 507(a)(4), and the remainder is a general unsecured claim; and
- E. An allowed administrative-expense priority claim under 11 U.S.C. § 503 for the reasonable attorneys' fees and the costs and disbursements that the Plaintiffs incur in prosecuting this action, as authorized by the WARN Act, 29 U.S.C. § 2104(a)(6), the WARN Act and/or other applicable laws.

F. Such other and further relief as this Court may deem just and proper.

DATED: November 14, 2011

/s/ Jeffrey D. Kurtzman

Jeffrey D. Kurtzman, Esquire

Charles A. Ercole, Esquire (Pro Hac Vice Pending)

Kathryn Evans Perkins, Esquire (Pro Hac Vice Pending)

Klehr Harrison Harvey Branzburg LLP

1835 Market Street, Suite 1400

Philadelphia, PA 19103

Telephone: (215) 569-2700

Attorneys for Plaintiffs and the putative Class

Open Adversary Case

U.S. Bankruptcy Court
Southern District of New York

Notice of Electronic Filing

The following transaction was received from Kurtzman, Jeffrey entered on 11/14/2011 at 1:01 PM and filed on 11/14/2011

Case Name: Thielmann et al v. MF Global Finance USA, Inc. et al

Case Number: [11-02880-mg](#)

Document Number: [1](#)

Case Name: MF Global Holdings Ltd.

Case Number: [11-15059-mg](#)

Document Number: [76](#)

Docket Text:

Adversary case 11-02880. Complaint against MF Global Finance USA, Inc., MF Global Holdings, Ltd. . Nature(s) of Suit: (14 (Recovery of money/property - other)) Filed by Todd Thielmann, Pierre-Yvan Desparois. (Kurtzman, Jeffrey)

The following document(s) are associated with this transaction:

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[STAMP NYSBStamp_ID=842906028 [Date=11/14/2011] [FileNumber=10833468-0] [5d9fd2a168e6e9e548aaf85053638df5e6b5f54cdec86e17f0e6e10c394e91a17cf7ddd24d0e1079fbc6786ac97783a1b9ee569c2b614b822dbe17d1a9fea6b1]]

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11-02880-mg Notice will be electronically mailed to:

11-02880-mg Notice will not be electronically mailed to:

Pierre-Yvan Desparois
c/o Jeffrey Kurtzman, Esquire
Klehr Harrison Harvey Branzburg LLP
1835 Market Street
Suite 1400
Philadelphia, PA 19103

MF Global Finance USA, Inc.
717 Fifth Avenue
New York, NY

MF Global Holdings, Ltd.
717 Fifth Avenue
New York, NY

Todd Thielmann
c/o Jeffrey Kurtzman, Esquire
Klehr Harrison Harvey Branzburg LLP
1835 Market Street
Suite 1400
Philadelphia, PA 19103

11-15059-mg Notice will be electronically mailed to:

Ann E. Acker on behalf of Creditor BMO Harris Bank N.A.
acker@chapman.com

David J. Adler on behalf of Creditor DEUTSCHE BANK TRUST COMPANY AMERICAS
dadler@mccarter.com

Ana M. Alfonso on behalf of Creditor Bank of America, N.A.
maosbny@willkie.com, aalfonso@willkie.com

John R. Ashmead on behalf of Interested Party Wilmington Trust, N.A.
ashmead@sewkis.com

Christopher Robert Belmonte on behalf of Creditor Cima Energy, Ltd.
cbelmonte@ssbb.com, pbosswick@ssbb.com

Walter Benzija on behalf of Unknown Queen's Quay Avante Limited
wbenzija@halperinlaw.net, lgu@halperinlaw.net

Martin J. Bienenstock on behalf of Creditor Committee Statutory Creditors' Committee of MF Global Holdings Ltd., et al.
martin.bienenstock@dl.com, tkarcher@dl.com;haaronson@dl.com;lsaal@dl.com

Timothy F. Butler on behalf of Creditor James Barton
tbutler@tkblaw.com

Scott Cargill on behalf of Creditor Counsel to Tate & Lyle Grain Inc.; Tate & Lyle Grain LLC; Tate & Lyle Ingredients Americas Inc.; Tate & Lyle Ingredients Americas LLC; Tate & Lyle Americas Inc.; and Tate & Lyle Americas LLC
scargill@lowenstein.com, msavetsky@lowenstein.com

Schuyler G. Carroll on behalf of Interested Party Jon Corzine
scarroll@perkinscoie.com, JonathanParsons@perkinscoie.com;DocketNYC@perkinscoie.com

Shawn M. Christianson on behalf of Creditor Oracle America, Inc.
schristianson@buchalter.com, cmcintire@buchalter.com

Marvin E. Clements on behalf of Creditor Tennessee Department of Treasury
agbanknewyork@ag.tn.gov

Michael T. Conway on behalf of Creditor EMC Master Fund, Ltd.
michael.conway@jeclairryan.com

Wayne H. Davis on behalf of Creditor Grain Service Corporation
davis@thshlaw.com

Paul R. DeFilippo on behalf of Creditor IPC Systems, Inc.
pdefilippo@wmd-law.com, gparascondola@wmd-law.com;jgiampolo@wmd-law.com

Jennifer V. Doran on behalf of Creditor Bank Pozitif Kredi ve Kalkinma Bankasi A.S.
jdoran@haslaw.com, calirm@haslaw.com

Judith Elkin on behalf of Interested Party Credit Agricole Corporate & Investment Bank
judith.elkin@haynesboone.com, dian.gwinnup@haynesboone.com

Jonathan L. Flaxer on behalf of Creditor Yield Curve Trading LLC and Yield Curve Velocity Trading LLC
jflaxer@golenbock.com, eneman@golenbock.com;mweinstein@golenbock.com

Michael S. Fox on behalf of Creditor ForwardThink Group Inc.
mfox@olshanlaw.com, ssallie@olshanlaw.com

Shawn Randall Fox on behalf of Creditor Virginia Power Energy Marketing Inc., Dominion Energy Marketing Inc., and Virginia Electric and Power Company
sfox@mcguirewoods.com, tcollins@mcguirewoods.com

Anson Frelinghuysen on behalf of Trustee James W. Giddens, Trustee for the SIPA Liquidation of MF Global Inc.
frelingh@hugheshubbard.com

Douglas L. Furth on behalf of Creditor EPS Trading, LLC
dfurth@golenbock.com, eneman@golenbock.com;mweinstein@golenbock.com

Sheldon Ira Hirshon on behalf of Creditor The Depository Trust & Clearing Corporation
shirshon@proskauer.com, lelbaum@proskauer.com;dcooper@proskauer.com

Donald F. King on behalf of Creditor Headstrong Services LLC
donking@ofplaw.com

Christopher K. Kiplok on behalf of Trustee James W. Giddens, Trustee for the SIPA Liquidation of MF Global Inc.
kiplik@hugheshubbard.com

Ira M. Levee on behalf of Interested Party Lead Plaintiffs and the Class
ilevee@lowenstein.com, mseymour@lowenstein.com

Shari D. Leventhal on behalf of Interested Party Federal Reserve Bank of New York
shari.leventhal@ny.frb.org

Sharon L. Levine on behalf of Interested Party Caplin Systems Ltd.
slevine@lowenstein.com, jbecht@lowenstein.com;msavetsky@lowenstein.com

Sarah K. Loomis Cave on behalf of Trustee James W. Giddens, Trustee for the SIPA Liquidation of MF Global Inc.
cave@hugheshubbard.com

Jeffrey S. Margolin on behalf of Trustee James W. Giddens, Trustee for the SIPA Liquidation of MF Global Inc.
margolin@hugheshubbard.com

Scott C. Matasar on behalf of Creditor New York Community Bancorp, Inc.
smatasar@calfee.com, nwheatley@calfee.com;jrobertson@calfee.com;gkallergis@calfee.com

Heather Dolan McArn on behalf of Interested Party Board of Trade of the City of Chicago, Inc.
hmcarn@jenner.com, docketing@jenner.com

Frank McGinn on behalf of Creditor Iron Mountain Information Management, Inc.
ffm@bostonbusinesslaw.com

G. Christopher Meyer on behalf of Creditor Texican Natural Gas Company, LLC
cmeyer@ssd.com

David Neier on behalf of Interested Party IntercontinentalExchange, Inc., and its affiliated entities
dneier@winston.com, dcunsoo@winston.com

Harold S. Novikoff on behalf of Unknown JPMorgan Chase Bank, N.A.
hsnovikoff@wlrk.com, calert@wlrk.com

Peter V. Pantaleo on behalf of Creditor JPMORGAN CHASE BANK, N.A.
managingclerk@stblaw.com

Peter S. Partee on behalf of Interested Party Mercuria Energy America, Inc.
ppartee@hunton.com

Mark H. Ralston on behalf of Creditor One Financial Place Property, LLC
mralston@taberestes.com

Steven J. Reisman on behalf of Debtor MF Global Holdings Ltd.
sreisman@curtis.com,
cgiglio@curtis.com;jdrew@curtis.com;tbarnes@curtis.com;mgallagher@curtis.com;dching@curtis.com;njames@curtis.com;mgiugliano@curtis.com;hsaydah@curtis.com;jzimmer@curtis.com

David A. Rosenzweig on behalf of Creditor National Fuel Resources, Inc.
DRosenzweig@Fulbright.com

Mark Sherrill on behalf of Creditor Noble Americas Corp.
mark.sherrill@sablau.com

Stephen J. Shimshak on behalf of Interested Party Citigroup Inc. and all of its affiliates, including Citibank, N.A.
sshimshak@paulweiss.com, sshimshak@paulweiss.com

Michael P. Shuster on behalf of Creditor ITOCHU International Inc.
mshuster@porterwright.com

Jeffrey S. Stein on behalf of Claims and Noticing Agent The Garden City Group
PACERTeam@gardencitygroup.com, michelle.murphy@gcginc.com

Brian Trust on behalf of Interested Party The Bank of New York Mellon
btrust@mayerbrown.com

Josephine Wang on behalf of Unknown Securities Investor Protection Corporation
jwang@sipc.org

Martin B. White on behalf of Unknown Commodity Futures Trading Commission
mwhite@cftc.gov, pwilliams@cftc.gov;mchytry@cftc.gov

Richard C. Yeskoo on behalf of Interested Party George Schoenberg
yeskoo@yeskoolaw.com

Kenneth S Ziman on behalf of Debtor MF Global Finance USA Inc.
ken.ziman@skadden.com, John.Murphy@skadden.com;Mirjana.Mirkovic@skadden.com

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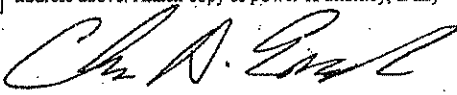
Lauren Attard on behalf of Unknown Securities Investor Protection Corporation
Securities Investor Protection Corporation
805 15th Street NW
Washington, DC 20005
lattard@sipc.org

Bimbo Foods, Inc.

Kenneth J. Caputo on behalf of Unknown Securities Investor Protection Corporation
805 Fifteenth Street, NW
Washington, DC 20005-2207

Christopher H. LaRosa on behalf of Unknown Securities Investor Protection Corporation
Securities Investor
Protection Corporation
805 Fifteenth Street
N.W., Suite 800
Washington, DC 20005

United States Trustee
33 Whitehall Street
21st Floor
New York, NY 10004

UNITED STATES BANKRUPTCY COURT		PROOF OF CLAIM
Name of Debtor: MF Global Finance LTD and/or MF Global Holdings LTD		Case Number: 11-15058 and 11-15059
<i>NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.</i>		
Name of Creditor (the person or other entity to whom the debtor owes money or property): Todd Thielmann and Pierre-Yvan Desperois, individually, and on behalf of a Class of All Other Former Employees Similarly Situated		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.
Name and address where notices should be sent: Charles A. Ercole Klehr Harrison Harvey Branzburg LLP 1835 Market Street Philadelphia, PA 19103 Telephone number: 215-569-4282 cercole@klehr.com		Court Claim Number: <u>N/A</u> (If known)
Name and address where payment should be sent (if different from above): SAME		Filed on: _____
1. Amount of Claim as of Date Case Filed: <u>\$25,000,000+</u> If all or part of your claim is secured, complete item 4 below; however, if all of your claim is unsecured, do not complete item 4. If all or part of your claim is entitled to priority, complete item 5. <input type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of claim. Attach itemized statement of interest or charges.		5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount. Specify the priority of the claim.
2. Basis for Claim: Wages and benefits for violation of the Worker Adjustment and Retraining Notification Act 29 U.S.C. § 2101 et seq.; the Illinois WARN Act; and the New York WARN Act		<input type="checkbox"/> Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).
3. Last four digits of any number by which creditor identifies debtor: <u>N/A</u>		<input checked="" type="checkbox"/> Wages, salaries, or commissions (up to \$11,725* earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. §507 (a)(4).
3a. Debtor may have scheduled account as <u>N/A</u>		<input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. §507 (a)(5).
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information. <u>N/A</u> Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ % Amount of arrearage and other charges as of time case filed included in secured claim, If any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____		<input type="checkbox"/> Up to \$2,600* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. §507 (a)(7).
6. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.		<input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. §507 (a)(8).
7. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. You may also attach a summary. Attach redacted copies of documents providing evidence of perfection of a security interest. You may also attach a summary. (See instruction 7 and definition of "redacted" on reverse side.) DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:		<input type="checkbox"/> Other - Specify applicable paragraph of 11 U.S.C. §507 (a)(____). Amount entitled to priority: <u>\$ 10,000,000+</u>
Date: <u>11/14/11</u>		FOR COURT USE ONLY
Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any 		

Claim History

Detailed Description of Claim Activity 2-1

Date of Change	Change Made by	Description of Change
11/14/2011	Jeffrey Kurtzman	<p>Created <i>Amount Claimed</i>: \$25000000.00 Created <i>Priority Claimed Amount</i>: \$250000000.00</p> <p>Created <i>Filed By</i>: Creditor Created <i>Date Filed</i>: 11/14/2011</p> <p>Created <i>Description</i>: Wages & benefits for violation of the Worker Adjustment & Retraining Notification Act 29 USC s 2101 et seq. and the Illinois Warn Act; and the New York Warn Act</p>

PACER Service Center			
Transaction Receipt			
11/14/2011 09:22:47			
PACER Login:	kh0742	Client Code:	MF Global see KEP
Description:	Claim History	Search Criteria:	11-15059-mg
Billable Pages:	1	Cost:	0.08

	Claimed	Allowed
Secured		
Priority	\$250000000.00	
Administrative		

PACER Service Center			
Transaction Receipt			
11/14/2011 09:22:33			
PACER Login:	kh0742	Client Code:	MF Global see KEP
Description:	Claims Register	Search Criteria:	11-15059-mg Filed or Entered From: 11/1/2011 Filed or Entered To: 11/14/2011
Billable Pages:	1	Cost:	0.08

Southern District of New York Claims Register

11-15059-mg MF Global Holdings Ltd.

Judge: Martin Glenn **Chapter:** 11
Office: Manhattan **Last Date to file claims:**
Trustee: **Last Date to file (Govt):**

Creditor: (5693642)
 Eileen Finn & Associates
 c/o Zinker & Herzberg, LLP
 PO Box 866
 Smithtown, New York 11787

Claim No: 1
Original Filed
 Date: 11/04/2011
Original Entered
 Date: 11/04/2011

Status:
 Filed by: CR
 Entered by: Jeffrey Herzberg
 Modified:

Amount claimed: \$45000.00
 Unsecured claimed: \$45000.00

History:

Details 1-1 11/04/2011 Claim #1 filed by Eileen Finn & Associates, Amount claimed: \$45000.00 (Herzberg, Jeffrey)

Description: (1-1) placement services

Remarks:

Creditor: (5698889)
 Yvan Desparois, ind. & as class
 representative
 C/O Charles A. Ercole
 Klehr Harrison Harvey Branzburg, LLP
 1835 Market Street, Ste 1400
 Philadelphia, 19010

Claim No: 2
Original Filed
 Date: 11/14/2011
Original Entered
 Date: 11/14/2011

Status:
 Filed by: CR
 Entered by: Jeffrey Kurtzman
 Modified:

Amount claimed: \$25000000.00
 Priority claimed: \$25000000.00

History:

Details 2-1 11/14/2011 Claim #2 filed by Yvan Desparois, ind. & as class representative, Amount claimed: \$25000000.00 (Kurtzman, Jeffrey)

Description: (2-1) Wages & benefits for violation of the Worker Adjustment & Retraining Notification Act 29 USC s 2101 et seq. and the Illinois Warn Act; and the New York Warn Act

Remarks:

Claims Register Summary

Case Name: MF Global Holdings Ltd.
Case Number: 11-15059-mg
Chapter: 11
Date Filed: 10/31/2011
Total Number Of Claims: 2

Total Amount Claimed*	\$25045000.00
Total Amount Allowed*	

*Includes general unsecured claims

The values are reflective of the data entered. Always refer to claim documents for actual amounts.